

Open Science

A must for modern 21st century researchers

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HIRAKU-Global
Starter Course 2021

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jorgetendeiro.com/talk/2021_openscience/





Open Science

What went wrong?

Maybe it's not that bad?...

What's new (depending on your field...)

Wrapping up



Open Science



- Global approach to science.
- It is a philosophy of behavior more than anything else.
- Make research findings available, **free of charge**.
- Emphasis on **openness**, **reproducibility**, **replicability**, **transparency**, **integrity**.
- Several OS principles are now mandatory at major funding boards:
 - ▶ EU's Horizon 2020 ([here](#), [here](#)).
 - ▶ U.S.'s National Institutes of Health (NIH; [here](#), [here](#)).
 - ▶ U.S.'s National Science Foundation (NSF; [here](#)).
 - ▶ JSPS and MEXT over open access ([here](#), [here](#)).

Background: By [Artem Beliaikin](#) at [Pexels](#), [license](#).



- Contribute to **robust** and **speedy** scientific discovery.
- Sharing materials allows getting constructive feedback.
- Improve quality of published research.
- Increase societal relevance, maximize public benefit, avoid resource waste.
- Meet expectations from funders.

Background: By [Markus Winkler](#) at [Unsplash](#), [license](#).

See [Crüwell et al. \(2019\)](#), also [here](#).

- Open data (FAIR principles; [Wilkinson et al., 2016](#)).
- Open materials, code.
- Open methodology (preregistration, registered reports).
- Open access.
- Reproducibility, replicability ([Penders, Holbrook, & de Rijcke, 2019](#)).
- Open review.
- Open educational resources.

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What went wrong?

What went wrong?

Publishing positive results



- Journals often prioritize publishing novel and exciting results.
- Not all such results are based on well-designed and executed experiments.
- “False positive” literature, “bias against the null.”
- This has led to a distortion in the literature.
- Many published results failed to replicate.

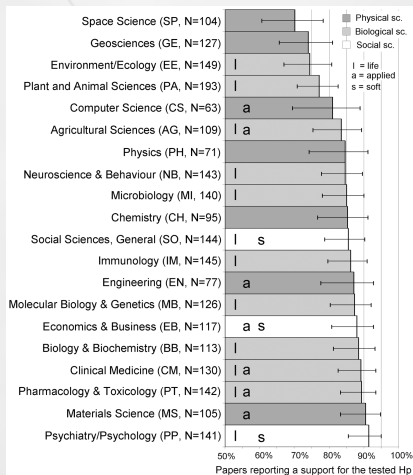
Background: By [Marcelo Moreira](#) at [Pexels](#), [license](#).

What went wrong?

Publishing positive results



From Fanelli (2010).



Background: By [Marcelo Moreira](#) at [Pexels](#), [license](#).



Negative results: Those failing to support the research hypotheses.

- Hard to publish, even for well-designed and executed experiments (e.g., [Fanelli, 2012](#)).
- Perceived neither as 'novel' nor 'exciting'.
- File-drawer problem ([Rosenthal, 1979](#)).

But there is a lot of good information in negative findings!

Background: By [Steve Johnson](#) at [Unsplash](#), [license](#).



“Take nobody’s word for it”

Image from [Royal Society](#), [CC BY-SA 4.0](#) license via [Wikimedia Commons](#).

What went wrong?

Sprinting marathons



- Prioritize fast and low-powered studies, over longer and high-powered studies (e.g., [Button et al., 2013](#), but the list is endless).
- Journals dismiss replication papers.

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What went wrong?

Ignoring warnings



We have been complacent for way to long.

"It is not unusual that (...) this ad hoc challenging of auxiliary hypotheses is repeated in the course of a series of related experiments, in which the auxiliary hypothesis involved in Experiment 1 (...) becomes the focus of interest in Experiment 2, which in turn utilizes further plausible but easily challenged auxiliary hypotheses, and so forth. In this fashion a zealous and clever investigator can slowly wend his way through (...) a long series of related experiments (...) without ever once refuting or corroborating so much as a single strand of the network."

Meehl (1967)

Background: By [Pixabay](#) at [Pexels](#), [license](#).

What went wrong?

Ignoring warnings



“(...) It was found that the average power (probability of rejecting false null hypotheses) over the 70 research studies was .18 for small effects, .48 for medium effects, and .83 for large effects. These values are deemed to be far too small.”

“(...) it is recommended that investigators use larger sample sizes than they customarily do.”

Cohen (1962)

Background: By [Pixabay](#) at [Pexels](#), [license](#).

What went wrong?

Ignoring warnings



Not so long ago (Ioannidis, 2005b):

Essay

Why Most Published Research Findings Are False

John P. A. Ioannidis

Summary

factors that influence this problem and some corollaries thereof.

is characteristic of the field and can vary a lot depending on whether the

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What went wrong?

Are researchers to blame??



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- Sometimes: Yes.
- Some bad scientists distort or downright break the rules.
- Lies, fabricated results, misconduct.
- Examples:
 - ▶ Diederik Stapel, social psychologist. Suspended in 2011. [Fabricating and manipulating data.](#)
 - ▶ Marc Hauser, psychologist at Harvard. Resigned in 2011. [Scientific misconduct.](#)
 - ▶ Jens Förster, social psychologist. Resigned in 2017. [Data tampering.](#)
 - ▶ Jan Hendrik Schön, physicist, 2002. [All sorts of wrongdoing.](#)
 - ▶ Anil Potti, cancer research, 2007. [Lied about CV, fake data.](#)
 - ▶ ...

See [Retraction Watch](#) for a sad wall of shame.

Background: By [Skitterphoto](#) at [Pexels](#), [license](#).

What went wrong?

Are researchers to blame??



Open Science can contribute to minimize outright fraud.

But wrongdoers will always try their luck anyway, I guess.

Background: By [Skitterphoto](#) at [Pexels](#), [license](#).

What went wrong?

Are researchers to blame??



- But most of the times: **NO**.
- Often researchers are unaware about their actions.
- Also, consequences of mispractice are dire and we all know about it.

Q: So how can we explain many mistakes being done?

A: Combination of poor training, embedded bad practices in their field, current publication system, incentive to publish, wrong career incentives.

There is an expression *en vogue* for this: **Questionable research practices**.

Background: By [Alvan Nee](#) at [Unsplash](#), [license](#).



QRP: Term coined by John, Loewenstein, and Prelec (2012) (see also Simmons, Nelson, & Simonsohn, 2011).

- Not *necessarily* fraud.
- Includes the (ab)use of actually **acceptable** research practices.
- Problem with QRPs:
 - ▶ Introduce **bias** (typically, in favor of the researcher's intentions).
 - ▶ **Inflated power** at the cost of inflated Type I error probability ($\gg 5\%$).
 - ▶ Results **not replicable**.

Background: By [Julia Filirovska](#) at [Pexels](#), [license](#).

(John et al., 2012, Schimmack, 2015).

- Omit some DVs.
- Omit some conditions.
- Peeking through sequential testing — Look and decide:
 - ▶ $p > .05$: Collect more.
 - ▶ $p < .05$: Stop.
- Only report $p < .05$ results.
- p -hacking: E.g.,
 - ▶ Exclusion of outliers depending on whether $p < .05$.
 - ▶ $p = .054 \rightarrow p = .05$.
- HARKing (Kerr, 1998): Convert exploratory results into research questions.
- ...

What went wrong?

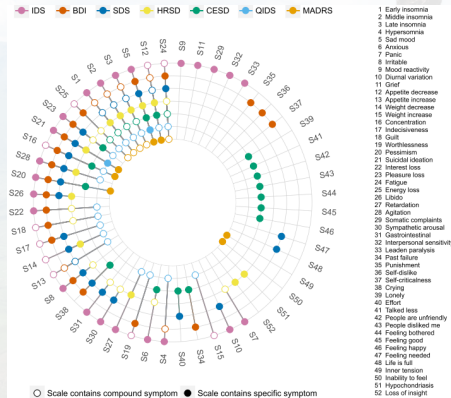
Researcher's degrees of freedom



- Researchers have a **multitude** of decisions to make (experiment design, data collection, analyses performed; [Wicherts et al., 2016](#), [Steege, Tuerlinckx, Gelman, & Vanpaemel, 2016](#)).
- It is very possible to manipulate results **in favor** of one's interests.
- This is now known as **researcher's degrees of freedom** ([Simmons et al., 2011](#)).
- Consequence: **Inflated** false positive findings ([Ioannidis, 2005b](#)).

Background: By [Julian Jagtenberg](#) at [Pexels](#), [license](#).

Example from Fried (2017).



- The 7 most common depression scales contain 52 symptoms.
- That's 7 different sum scores.
- Yet, all are interpreted as 'level of depression'.

Background: By [Julian Jagtenberg](#) at [Pexels](#), [license](#).

See [Gelman and Loken \(2013\)](#).

Related to researcher's degrees of freedom:

- Different data may have led to different analysis.
- Related to a [multiverse](#) of analytical options ([Steen et al., 2016](#)).
- Not necessarily *p*-hacking.

Background: By [Quang Nguyen Vinh](#) at [Pexels](#), [license](#).

HARKing: Turning exploratory into confirmatory analysis.

From Bem (2004):

*“(...) [L]et us (...) become intimately familiar with (...) the data. Examine them **from every angle**. Analyze the sexes separately. Make up new composite indices. If a datum suggests a new hypothesis, try to find further evidence for it elsewhere in the data. If you see dim traces of interesting patterns, try to reorganize the data to bring them into bolder relief. If there are participants you don’t like, or trials, observers, or interviewers who gave you anomalous results, **drop them** (temporarily). **Go on a fishing expedition for something— anything— interesting.**”*

This is not OK **unless** the exploration is explicitly stated.

Daryl Bem is the author of the now infamous 2011 precognition paper.

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What went wrong?

Bad incentives



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Bad incentives explain a lot (Nosek, Spies, & Motyl, 2012; Schönbrodt, 2015):

- “Publish or perish”: Publish a lot, at highly prestigious journals.
But...
 - ▶ Journals only publish a fraction of all manuscripts...
 - ▶ Journals don't like publishing null findings...
- Get tenured.
- Get research grant.
- Fame (prizes, press coverage, ...).
- ...

But, **very importantly**, it also happens in spite of the **researcher's best intentions**:

- Deficient statistics education (yes, statisticians need to acknowledge this!...).
- Perpetuating traditions in the field.

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Maybe it's not that bad?...

Maybe it's not that bad?...

Failed replications – Medicine



From Ioannidis (2005a).

Contradicted and Initially Stronger Effects in Highly Cited Clinical Research

John P. A. Ioannidis, MD

CLINICAL RESEARCH ON IMPOR-

Context Controversy and uncertainty ensue when the results of clinical research on the effectiveness of interventions are subsequently contradicted. Controversies are most prominent when high-impact research is involved.

Background: By [Johannes Plenio](#) at [Pexels](#), [license](#).

From Ioannidis (2005a).

Contradicted and Initially Stronger Effects in Highly Cited Clinical Research

John P. A. Ioannidis, MD

Context Controversy and uncertainty ensue when the results of clinical research on the effectiveness of interventions are subsequently contradicted. Controversies are most prominent when high-impact research is involved.

Results Of 49 highly cited original clinical research studies, 45 claimed that the intervention was effective. Of these, 7 (16%) were contradicted by subsequent studies, 7 others (16%) had found effects that were stronger than those of subsequent studies, 20 (44%) were replicated, and 11 (24%) remained largely unchallenged. Five of 6 highly-cited nonrandomized studies had been contradicted or had found stronger effects vs 9 of 39 randomized controlled trials ($P = .008$). Among randomized trials, studies with contradicted or stronger effects were smaller ($P = .009$) than replicated or unchallenged studies although there was no statistically significant difference in their early or overall citation impact. Matched control studies did not have a significantly different share of refuted results than highly cited studies, but they included more studies with “negative” results.

Background: By [Johannes Plenio](#) at [Pexels](#), [license](#).

From Begley and Ellis (2012).

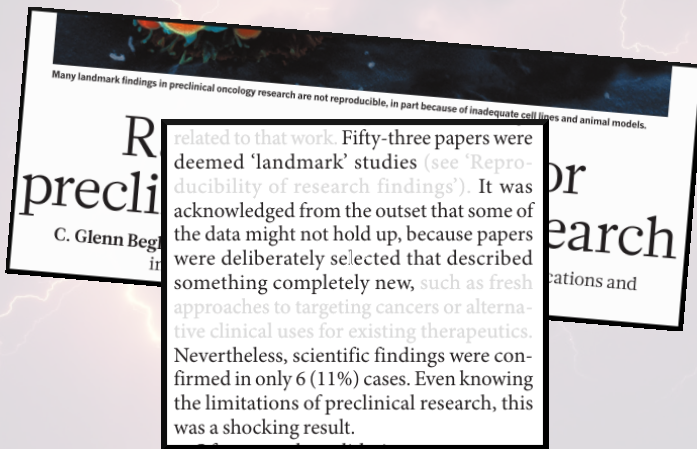


Maybe it's not that bad?...

Failed replications – Medicine



From Begley and Ellis (2012).



See also Errington et al. (2014), Prinz et al. (2011).

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From Camerer et al. (2016).

ECONOMICS

Evaluating replicability of laboratory experiments in economics

Colin F. Camerer,^{1*}† Anna Dreber,²† Eskil Forsell,²† Teck-Hua Ho,^{3,4}† Jürgen Huber,⁵†
Magnus Johannesson,²† Michael Kirchler,^{5,6}† Johan Almenberg,⁷ Adam Altmeld,²
Taizan Chan,⁸ Emma Heikensten,² Felix Holzmeister,⁵ Taisuke Imai,¹ Siri Isaksson,²
Gideon Nave,¹ Thomas Pfeiffer,^{9,10} Michael Razen,⁵ Hang Wu⁴

From Camerer et al. (2016).

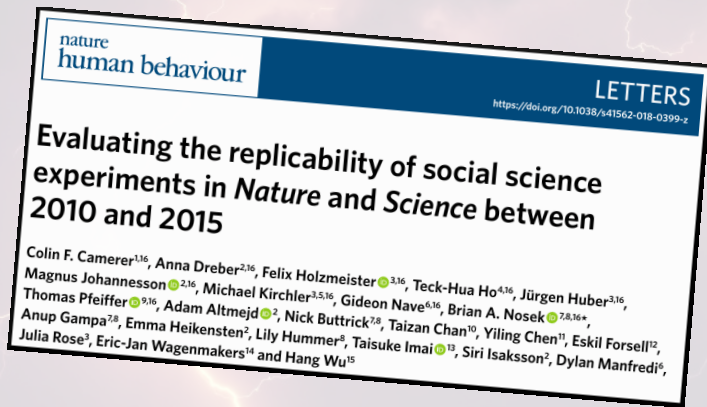


The replicability of some scientific findings has recently been called into question. To contribute data about replicability in economics, we replicated 18 studies published in the *American Economic Review* and the *Quarterly Journal of Economics* between 2011 and 2014. All of these replications followed predefined analysis plans that were made publicly available beforehand, and they all have a statistical power of at least 90% to detect the original effect size at the 5% significance level. We found a significant effect in the same direction as in the original study for 11 replications (61%); on average, the replicated effect size is 66% of the original. The replicability rate varies between 67% and 78% for four additional replicability indicators, including a prediction market measure of peer beliefs.

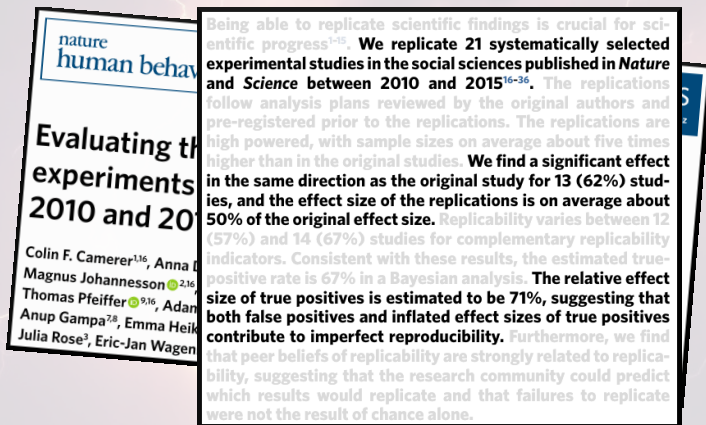
See also Chang and Li (2021), Duvendack et al. (2017).

Background: By [Johannes Plenio](#) at [Pexels](#), [license](#).

From Camerer et al. (2018).



From Camerer et al. (2018).



See also Klein et al. (2018), OSC (2015).

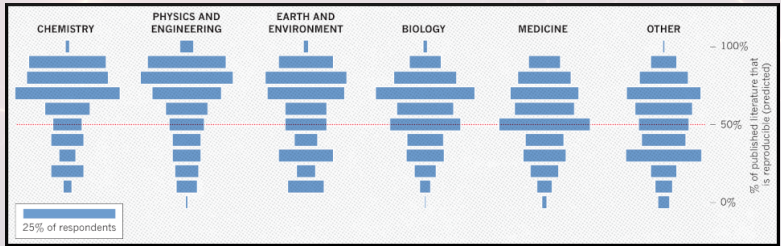
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Maybe it's not that bad?...

Failed replications – Various fields



From Baker (2016).



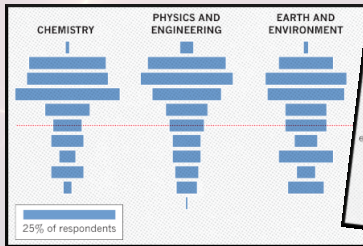
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Maybe it's not that bad?...

Failed replications – Various fields



From Baker (2016).



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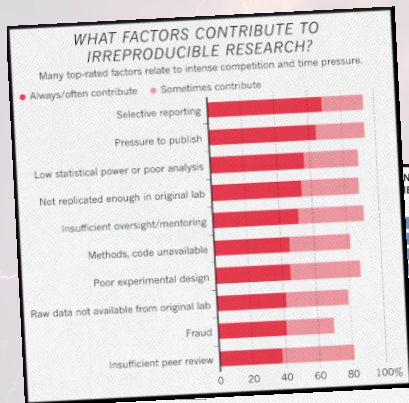
Maybe it's not that bad?...

Failed replications – Various fields



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From Baker (2016).



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Maybe it's not that bad?...

Diagnostic



Q: Is it really *that* bad?

A: Yes.

- Martinson, Anderson, and de Vries (2005): “Scientists behaving badly”.
- Fanelli (2009): Meta-analysis shows evidence of science misconduct.
- John et al. (2012): Evidence for QRPs.
- Mobley, Linder, Braeuer, Ellis, and Zwelling (2013): Reported evidence of pressure to find significant results.
- Agnoli, Wicherts, Veldkamp, Albiero, and Cubelli (2017): More evidence of QRPs.
- Fraser, Parker, Nakagawa, Barnett, and Fidler (2018): More evidence from various fields of science.
-

Interestingly, science misconduct has been a longtime concern (see Babbage, 1830).

And for the sake of balance:

There are also some voices against this description of the current state of affairs (e.g., Fiedler & Schwarz, 2016).

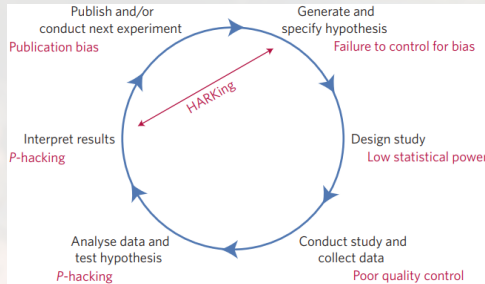
Background: By [OpenClipart-Vectors](#) at [Pixabay](#), [license](#).

Maybe it's not that bad?

Threats to reproducible science



From Munafò et al. (2017).



- Hypothetico-deductive model of the scientific method.
- In red: Potential threats to this model.

Background: By [Niek Verlaan](#) at [Pixabay](#), [license](#).

Maybe it's not that bad?

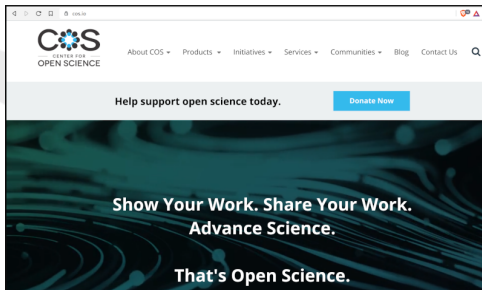
Distrust in science



- Public becomes skeptic about the work of researchers.
- Affects allocation of public resources to research.

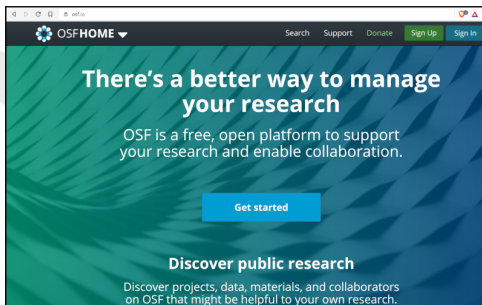
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What's new (depending on your field...)



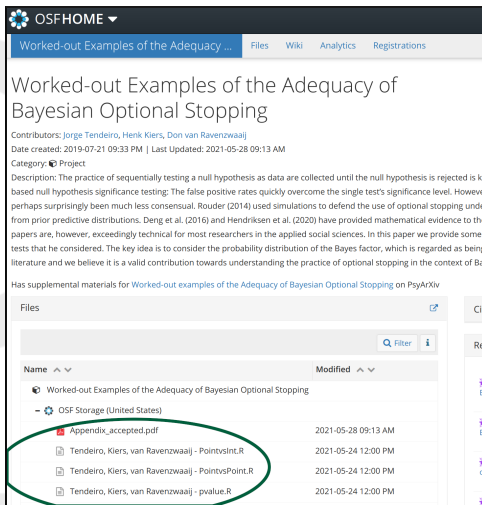
- See [Center for Open Science](#).
- Offers many services:
 - ▶ [Open Science Framework](#) (OSF) for collaborative projects, share data, preprints. . .
 - ▶ [Preregistrations](#).
 - ▶ [Registered reports](#).
 - ▶ [Open Science badges](#).
 - ▶ . . .

Background: From [Center for Open Science](#) licensed under [CC BY-ND 4.0](#).



- See [Open Science Framework](#)
- Allows sharing of data, study materials, research proposals.
- Easy access to preprints and effectively bypass publisher's unnacceptably expensive paywalls (please see [this movie!!](#)).

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The screenshot shows the OSFHOME interface for a project titled "Worked-out Examples of the Adequacy of Bayesian Optional Stopping". The page includes a header with navigation links (Files, Wiki, Analytics, Registrations), a title section with contributors (Jorge Tendeiro, Henk Kiers, Don van Ravenzwaaij), creation and update dates, and a description of the project. Below the description, there is a "Files" section with a table of uploaded files. A green circle highlights the file "Appendix_accepted.pdf".

OSFHOME ▾

Worked-out Examples of the Adequacy of Bayesian Optional Stopping | Files | Wiki | Analytics | Registrations

Worked-out Examples of the Adequacy of Bayesian Optional Stopping

Contributors: Jorge Tendeiro, Henk Kiers, Don van Ravenzwaaij
Date created: 2019-07-21 09:33 PM | Last Updated: 2021-05-28 09:13 AM
Category: Project

Description: The practice of sequentially testing a null hypothesis as data are collected until the null hypothesis is rejected is known as sequential hypothesis testing. The false positive rates quickly overcome the single test's significance level. However, perhaps surprisingly, there is much less consensus. Rouder (2014) used simulations to defend the use of optional stopping under the null hypothesis. Deng et al. (2016) and Hendriksen et al. (2020) have provided mathematical evidence to the contrary. The papers are, however, exceedingly technical for most researchers in the applied social sciences. In this paper we provide some R code and simulations that demonstrate the key idea is to consider the probability distribution of the Bayes factor, which is regarded as being a valid contribution towards understanding the practice of optional stopping in the context of Bayesian optional stopping.

Has supplemental materials for [Worked-out examples of the Adequacy of Bayesian Optional Stopping](#) on PsyArXiv

Files

Name	Modified
Worked-out Examples of the Adequacy of Bayesian Optional Stopping	
OSF Storage (United States)	
Appendix_accepted.pdf	2021-05-28 09:13 AM
Tendeiro, Kiers, van Ravenzwaaij - PointvsInt.R	2021-05-24 12:00 PM
Tendeiro, Kiers, van Ravenzwaaij - PointvsPoint.R	2021-05-24 12:00 PM
Tendeiro, Kiers, van Ravenzwaaij - pvalue.R	2021-05-24 12:00 PM

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Well, new at least in some fields. . .

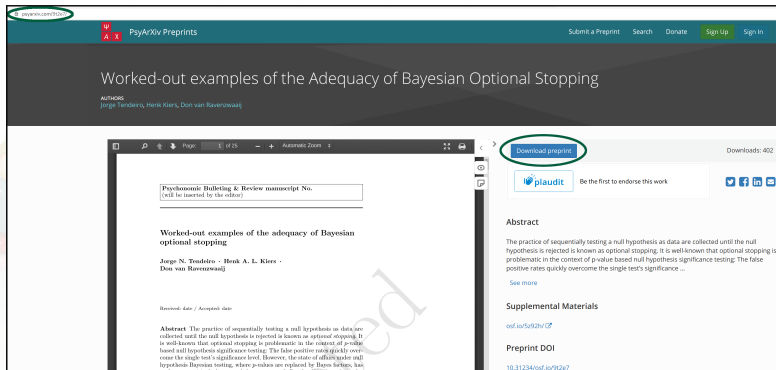
- Upload manuscripts, pre- and/or post-reviewed.
- Free access for everyone to read.
- Common in some fields for years, but still new to many others.

Examples (besides OSF already mentioned):

[arXiv](#) (since 1991!), [bioRxiv](#) (2013), [ChemRxiv](#) (2017), [PsyArXiv](#) (2016), [PeerJ](#) (2013),...

Do share preprints!

Background: By [B S K](#) at [Freelimages](#), [license](#).



The screenshot shows the PsyArXiv Preprints interface. At the top, the title "Worked-out examples of the Adequacy of Bayesian Optional Stopping" is displayed, along with the authors "Jorge N. Tendeiro, Henk Kiers, Don van Ravenzwaaj". A "Download preprint" button is circled in red. Below the title, a PDF viewer shows the first page of the manuscript, which includes the title, authors, and an abstract. The abstract discusses the practice of sequentially testing a null hypothesis as data are collected until the null hypothesis is rejected, known as optional stopping. It notes that optional stopping is problematic in the context of p-value based null hypothesis significance testing, but that the false positive rates quickly overcome the single test's significance level. However, the state of affairs is not clear when Bayesian testing is used, where p-values are replaced by Bayes factors, but the abstract is cut off. To the right of the PDF viewer, there is a "plaudit" button, a "Be the first to endorse this work" message, and social media sharing icons. Below this, the "Abstract" section is repeated, followed by "Supplemental Materials" and a "Preprint DOI" link.

Psychonomic Bulletin & Review manuscript No.
[will be inserted by the editor]

Worked-out examples of the adequacy of Bayesian optional stopping

Jorge N. Tendeiro · Henk A. L. Kiers · Don van Ravenzwaaj

Revised: 2021 / Accepted: 2021

Abstract The practice of sequentially testing a null hypothesis as data are collected until the null hypothesis is rejected is known as optional stopping. It is well-known that optional stopping is problematic in the context of p-value based null hypothesis significance testing. The false positive rates quickly overcome the single test's significance level. However, the state of affairs is not clear when Bayesian testing is used, where p-values are replaced by Bayes factors, but

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plaudit Be the first to endorse this work

Abstract

The practice of sequentially testing a null hypothesis as data are collected until the null hypothesis is rejected is known as optional stopping. It is well-known that optional stopping is problematic in the context of p-value based null hypothesis significance testing. The false positive rates quickly overcome the single test's significance ...

[See more](#)

Supplemental Materials

osf.io/5u92hv/C/

Preprint DOI

[10.31234/osf.io/9t2q7](https://doi.org/10.31234/osf.io/9t2q7)

Background: By B S K at Freemages, license.

“Bahh, preprints are of low quality! . . .”

Well, one of the most famous math problems of all times (the Poincaré Conjecture) has a [published solution exclusively on arXiv](#).

Worthy of a long-standing \$1,000,000 prize *and* a Fields Medal (both turned down!).

Background: By [mohamed Hassan](#) at [Pixabay](#), [license](#).

See Nosek, Ebersole, DeHaven, and Mellor (2018).

Document your research plan online:

- read-only
- time-stamped
- with pre-analysis plan
- (include as much detail as possible).

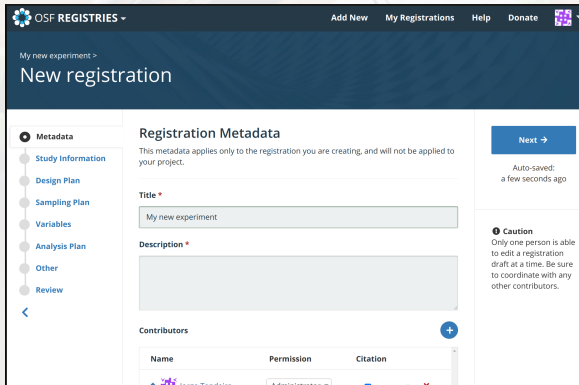
Advantages:

- Distinguish exploratory from confirmatory research.
- Reduce researcher df's.
- No *p*-hacking, HARKing.
- **Not** a waste of time, just a time-reversed heuristic.

Background: By [Bich Tran](#) at [Pexels](#), [license](#).

Examples: [OSF](#), [AsPredicted](#), [ClinicalTrials](#)

(and various options for clinical trials, where this is done for years).



OSF REGISTRIES

Add New My Registrations Help Donate

My new experiment >

New registration

- Metadata
- Study Information
- Design Plan
- Sampling Plan
- Variables
- Analysis Plan
- Other
- Review

Registration Metadata

This metadata applies only to the registration you are creating, and will not be applied to your project.

Title *

My new experiment

Description *

Contributors

Name	Permission	Citation
Jorge N. Tendeiro	Administrator	

Next

Auto-saved:
a few seconds ago

Caution
Only one person is able to edit a registration draft at a time. Be sure to coordinate with any other contributors.

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See Nosek and Lakens (2014).

Main ideas:

- Peer review the RQs and methodology **before** collecting data:
Stage 1 Peer Review.
- Upon *in-principle acceptance*, complete the study by following the protocol.
- Publication is **assured** upon ascertaining adherence to the registered protocol (or providing compelling reasons to deviate from it):
Stage 2 Peer Review.



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Major advantage on top of those for preregistrations:

- Avoid **publication bias**.
- **Quality** of the study over novelty or positive results.

Q: How popular are Registered Reports these days?

A: At the moment, about 300 journals (!) already offered this possibility (see [here](#) for a full list).

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Wrapping up

Wrapping up

So now what?



For me, it's all about taking little steps.
Trying to do all of it at once is just crazy.
Adapt things to your field and needs.

Background: By [Bruno Scramgnon](#) at [Pexels](#), [license](#).

A selection of extra resources you can consider looking at, complementing what was shown before ([Robson et al., 2021](#)):

- Check if your journal is/offers open access: [Sherpa/Romeo](#).
- [Database](#) of Open Access journals.
- [FAIR](#) data principles.
- Data repositories: [Nature](#), [Zenodo](#).
- Request a [paywalled article](#) (legally!).
- Peer reviewers' [Openness Initiative](#).

Background: By [Bruno Scramgnon](#) at [Pexels](#), [license](#).

I still don't know much. This is what I found:

- <https://openscience.jp/>. But it seems outdated.
- Research Center for Open Science and Data Platform ([RCOS](#)) for research data management.
- [JST](#) also has some directives for a few years now.
- A [Twitter Open Access](#) account, but it seems inactive.
- [JUSTICE](#) (is the name a homage to the Knight Rider?)
Includes an Open Access roadmap.

Background: By [Tomáš Malík](#) at [Pexels](#), [license](#).



Embrace Open Science!

- Agnoli, F., Wicherts, J. M., Veldkamp, C. L. S., Albiero, P., & Cubelli, R. (2017). Questionable research practices among italian research psychologists. *PLOS ONE*, 12(3), e0172792.
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